FORM PTO-1390 (Modified) (REV. 2-2005) ATTORNEY'S DOCKET NUMBER U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE T-1466 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) U/565323 **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE 7/22/2003 07/21/04 PCT/JP2004/010676 TITLE OF INVENTION PROCESS AND SYSTEM FOR PREPARING COLLOIDAL CRYSTALS AND COLLOIDAL CRYSTAL GELS APPLICANT(S) FOR DO/EO/US Tsutomu SAWADA et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) X 3. and (24) indicated below. The US has been elected (Article 31). 4 5. X A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). a. 🗆  $\boxtimes$ has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). c. 🗆 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. X  $\times$ is attached hereto. b. 🗆 has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). a. 🗌 have been communicated by the International Bureau. c. 🗌 have not been made; however, the time limit for making such amendments has NOT expired. d. 🗆 have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9.  $\boxtimes$ An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11. 12 A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: X 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. 15. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. A power of attorney and/or change of address letter. 18. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. X A second copy of the published International Application under 35 U.S.C. 154(d)(4). 20.  $\boxtimes$ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 21.  $\boxtimes$ EV454042322US 22. Express Mail Label No.

Page 1 of 2

PCTUS1/REV06

 $\boxtimes$ 

Other items or information:

Return postcard, copy of form IB/308, inventor information sheet

23.

IAP15 Rec'd PCT/PTO 2 0 JAN 2006

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
of to a collection of information unless it displays a valid OMB costs where

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/JP2004/010676 T-1466 The following fees are submitted: **CALCULATIONS PTO USE** \$300 \$300 25. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 | \$ \$200 All other situations..... \$200 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the \$100 \$400 \$400 All other situations. . . . \$500 \$900 TOTAL OF 24, 25 and 26 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** RATE **Extra Sheets** thereof (round up to a whole number) 26 - 100 = \$ /50 =\$250.00 \$0.00 Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest \$ claimed priority date (37 CFR 1.492(e)). NUMBER FILED **NUMBER EXTRA** RATE **CLAIMS** \$ Total claims - 20 = 0 \$50.00 \$0.00 x 3 Independent claims \$ \$0.00 3 = x \$200.00 MULTIPLE DEPENDENT CLAIMS (if applicable) П \$ \$360.00 \$0.00 TOTAL OF ABOVE CALCULATIONS = \$0.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$ \$0.00 reduced by 1/2. SUBTOTAL = \$ \$0.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the \$ \$900 earliest claimed priority date (37 CFR 1.492(f)). \$900 \$ **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$40 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property \$940 TOTAL FEES ENCLOSED = Amount to be Amount to be a. A check in the amount of \$ to cover the above fees is enclosed. to cover the above fees. in the amount of A duplicate copy of this sheet is enclosed. X The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 503036 . A duplicate copy of this sheet is enclosed. d. X Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: **Customer number 802** SIGNATURE **Deliett & Walters** James H. Walters P.O. Box 82788 NAME Portland, OR 97282-0788 US 35,731 503-224-0115 **REGISTRATION NUMBER** 

10/565323 ec'd PCT/PTO 20 JAN 2006

·	JAP15 Rec'd PGI/PIO 2 0 07 tt 2				
CERTIFICATEOF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Tsutomu SAWADA et al			Docket No. T-1466		
Application No. PCT/JP2004/01067	Filing Date 76 07/21/04	Examiner	Customer No. 802	Group Art Unit	
Invention: PROCESS AND SYSTEM FOR PREPARING COLLOIDAL CRYSTALS AND COLLOIDAL CRYSTAL GELS					
I hereby certify that the following correspondence:  new patent application and related documents (national phase entry of PCT)					
(Identify type of correspondence)					
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under					
37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA					
22313-1450 on Jan 20, 2006 (Date)					
James H. Walters  (Typed or Printed Name of Person Meding Correspondence)  (Signature of Person Mailing Correspondence)  EV454042322US  ("Express Mail" Mailing Label Number)					
	Note: Each pap	("Express Mail" Mail. per must have its own certificate of mailing			